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PATENT
Attorney Docket No.: 020906-000120US

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On 4.24.02

TOWNSEND and TOWNSEND and CREW LLP

By: [Signature]

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Thomas J. Parenty

Application No.: 09/996,283

Filed: November 27, 2001

For: METHOD AND SYSTEM FOR
OBJECT ENCRYPTION USING
TRANSPARENT KEY MANAGEMENT

Examiner: Hayes, Gail O.

Art Unit: 2131

SUPPLEMENTAL INFORMATION
DISCLOSURE STATEMENT UNDER 37
CFR §1.97 and §1.98

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

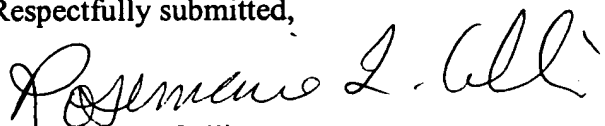
The references cited on attached PTO/SB/08A and PTO/SB/08B forms are being called to the attention of the Examiner. Copies of the references are enclosed. Also enclosed is a copy of the International Search Report issued in PCT/US01/44823, the counterpart international application. It is respectfully requested that the cited references be expressly considered during the prosecution of this application, and the references be made of record therein and appear among the "references cited" on any patent to issue therefrom.

As provided for by 37 CFR §1.97(g) and (h), no representation is being made that a search has been conducted or that this statement encompasses all the possible relevant

information, and no inference should be made that the information and references cited are, or are considered to be material to patentability because they are in this statement. No inference should be made that the information and references cited are prior art merely because they are in this statement.

Applicant believes that no fee is required for submission of this statement, since it is being submitted prior to the first Office Action. However, if a fee is required, the Commissioner is authorized to deduct such fee from the undersigned's Deposit Account No. 20-1430. Please deduct any additional fees from, or credit any overpayment to, the above-noted Deposit Account.

Respectfully submitted,


Rosemarie L. Celli
Reg. No. 42,397

TOWNSEND and TOWNSEND and CREW LLP
Two Embarcadero Center, 8th Floor
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RLC

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PTO/SB/08A (08-00)

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Substitute for form 1448A/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet 1 of 2

Complete if Known

Application Number	09/996,283
Filing Date	November 27, 2001
First Named Inventor	Parenty, Thomas J.
Group Art Unit	2131
Examiner Name	Hayes, Gail O.
Attorney Docket Number	020906-000120US

U.S. PATENT DOCUMENTS

Examiner Initials *	Cite No. ¹	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code ² (if known)			
	AA	US 6,154,543	A	Baltzley	11-28-00	
	AB	US 6,115,817	A	Whitmire	09-05-00	

Examiner
Signature

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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Unique citation designation number. ² See attached Kinds of U.S. Patent Documents. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

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**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

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Sheet 2 of 2

Complete If Known

Application Number	09/996,283
Filing Date	November 27, 2001
First Named Inventor	Parenty, Thomas J.
Group Art Unit	2131
Examiner Name	Hayes, Gail O.
Attorney Docket Number	020906-000120US

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OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS

Examiner Initials *	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
	AC	SCHNEIER, BRUCE, Applied Cryptography, Protocols, A Logorithms, and SOURCE Code in C, Second Edition, 1996, 32-33; 48-49; 339, John Wiley & Sons, Inc.	
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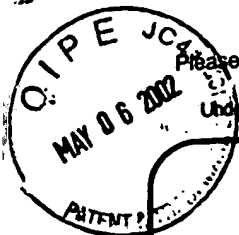
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TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Application Number	09/996,283
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First Named Inventor	Parenty, Thomas J.
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Examiner Name	Hayes, Gail O.
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<input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):
1. Form PTO/SB/08A (1 page);
2. Form PTO/SB/08B (1 page);
3. 3 References (not included in total number of pages in this submission);
4. International Search Report issued for PCT/US01/44823 (5 pages); and,
5. Return Postcard |
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Remarks

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SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm and Individual name	Townsend and Townsend and Crew LLP Rosemarie L. Celli	Reg. No. 42,397
Signature	<i>Rosemarie L. Celli</i>	
Date	April 24, 2002	

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